

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
9981

In Re Application Of: **Mengshi Lu et al.**

Serial No.
Unknown

Filing Date
December 10, 2001

Examiner
Unknown

Group Art Unit
Unknown

Title: **Stabilized Resin Compositions**

j1002 U.S. PTO
10/015916
12/10/01

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Stabilized Resin Compositions

11002 U.S. PRO
10/015916



12/16/01

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 08-2453 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
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I certify that this document and fee is being deposited _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Dated: 12/10/01

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Date of Deposit December 10, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Monica Y. Pettus

Name of applicant, assignee, or
Registered Representative

Monica Y. Pettus

Signature

December 10, 2001
Date of Signature

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cc:

Attorney's Docket No. 9981

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: **Mengshi Lu et al**

Serial No.: **Unknown**

Group Art Unit: **not assigned yet**

Filed: **December 10, 2001**

Examiner: **not assigned yet**

For: **Stabilized Resin Compositions**

**Assistant Commissioner for Patents
Washington, D.C. 20231**



INFORMATION DISCLOSURE STATEMENT

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 C.F.R. §1.56. Copies of these documents are also being submitted to comply with the provisions of 37 C.F.R. §1.97 and §1.98.

The submission of these documents is not intended as an admission that any or all of these materials are prior art against the claims of the present application. Applicants do not waive any rights to file Affidavits under 37 C.F.R. §1.131, to copy claims from the listed patent documents, or to take any other action which would be appropriate in the event that one or more of the documents are determined to be questionable as references against the claims of the present application.

Certificate of Mailing 37 CFR 1.10

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service Express Mail Post Office to Addressee Service Label No. **EL67571640US** in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C 20231.

By: *Monica Pettus*
Monica Pettus

Date: *December 10, 2001*

None of these documents are believed to impair the patentability of the presently claimed invention. Nevertheless, to complete the record, formal consideration and citation of these documents is requested.

Respectfully submitted:

A handwritten signature in black ink, appearing to read 'Karen E. Klumas', with a long horizontal flourish extending to the right.

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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)ATTY DOCKET NO.
9981SERIAL NO.
Unknown

Mengshi Lu et al.

FILING
December 10, 2001GROUP
Unknown**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,047,539	07/	Pengilly	260	75	
	3,755,610	08/73	McTigue	174	23	
	4,185,004	01/80	Mathis	260	45.8	
	4,261,880	04/81	Fujii et al	260	45.8	
	4,401,804	08//83	Wooten et al	528	272	
	4,677,150	06/87	Chacko et al	524	449	
	4,786,692	11/88	Allen et al	525	439	
	5,254,610	11/93	Small, Jr. et al	524	120	
	5,302,645	04/94	Nakano et al	524	120	
	5,322,871	06/94	Pitteloud et al	524	151	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Technical Bulletin, Clariant Corporation, Hostanox OSP 1, Pages 1-4

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.